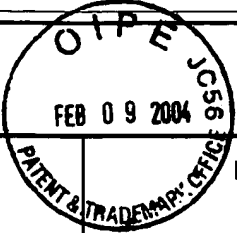


<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(f))			Docket No. CSO-0001-P	
In Re Application Of: <b>Sophie Chen</b>				
Serial No. <b>10/647,458</b>	Filing Date <b>8/1/2003</b>	Examiner	Group Art Unit	
Title: <b>BOTANICAL EXTRACT COMPOSITIONS AND METHODS OF USE</b>				
Address to: <b>Commissioner for Patents</b> <b>P.O. Box 1450</b> <b>Alexandria, VA 22313-1450</b>				
<b>37 CFR 1.97(b)</b>				
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
<b>37 CFR 1.97(c)</b>				
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
<div style="margin-left: 40px;"> <input type="checkbox"/> the statement specified in 37 CFR 1.97(e);         </div>				
<b>OR</b>				
<div style="margin-left: 40px;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).         </div>				

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> <b>(Under 37 CFR 1.97(b) or 1.97(c))</b>			Docket No. <b>CSO-0001-P</b>	
In Re Application: <b>Sophie Chen</b>				
Serial No. <b>10/647,458</b>	Filing Date <b>8/1/2003</b>	Examiner	Group Art Unit	



**BOTANICAL EXTRACT COMPOSITIONS AND METHODS OF USE**

**Payment of Fee**  
(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

☐ A check in the amount of \_\_\_\_\_ is attached.  
☒ The Director is hereby authorized to charge and credit Deposit Account No.    **06-1130**  
as described below.

☐ Charge the amount of \_\_\_\_\_  
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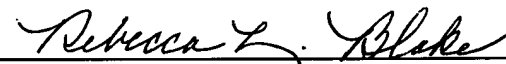
\_\_\_\_\_  
(Date)

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Signature

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Typed or Printed Name of Person Signing Certificate

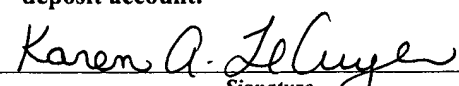
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\_\_\_\_\_  
Signature  
**Karen A. LeCuyer**  
Registration No. 51,928  
Customer No. 23413  
Telephone No. 860-286-2929

Dated:    **February 5, 2004**

CC:

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <b>CSO-0001-P</b>		SERIAL NO. <b>10/647,458</b>	
				<b>Sophie Chen</b> FILING <b>8/1/2003</b> GROUP			

O I P E  
 FEB 09 2004  
 PATENT & TRADEMARK OFFICE

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 01 30342 A1	03.05.2001	PCT				✓
	EP 0 742 012 A2	13.11.1996	European				✓
	WO 00 03706	27.01.00	PCT				✓
	WO 98 48790	05.11.98	PCT				✓
	EP 0 906 761 A2	07.04.1999	European				✓

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER INITIALS	DOCUMENT NUMBER	DESCRIPTION
		Ikemoto Shinichi et al., "Antitumor effects of Scutellariae radix and its components biacalein, baicalin, and wogonin on bladder cancer cell lines", UROLOGY, vol. 55, no. 6, June 2000, pages 951-955.
		Lee Woan-Rouh et al., "Wogonin and fisetin induce apoptosis in human promyeloleukemic cells, accompanied by a decrease of reactive oxygen species, and activation of caspase 3 and Ca2+-dependent endonuclease", BIOCHEMICAL PHARMACOLOGY, vol. 63, no. 2, 15 January 2002, pages 225-236.

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



# INFORMATION DISCLOSURE CITATION

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ATTY DOCKET NO.  
CSO-0001-P

SERIAL NO.  
10/647,458

Sophie Chen

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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

			JP60178815; 1985-09-12 (Abstract Only)
			International Search Report; International Application No. PCT/US 03/06979; International filing date 06/03/2003; Date of Mailing 05/11/2003

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